

Our Ref: ID 2072

NHS Wirral Clinical Commissioning Group
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Re: Freedom of Information Request – Risk Management

Thank you for your request for information made under the Freedom of Information Act 2000, which was received into this office on the 7th March 2022.

You Asked for:

1. Please provide a copy of your organisations Risk Management Strategy.
2. Please provide a copy of your organisations Risk Management Policy if this is a separate document to the Strategy.
3. Please provide your organisations Risk Appetite Statement.
4. Please provide your organisations approach to risk tolerance.
5. Please provide the minutes and any associated papers from the last meeting where your Board of Directors reviewed the Trust's risk appetite statement and setting the risk tolerance levels within the organisation.
6. Please provide a copy of your organisations latest Corporate Risk Register Report.
7. Please provide a copy of your organisations latest Board Assurance Framework.
8. Please provide a copy of your latest Risk Management Internal Audit report.
9. Please confirm how your organisation records risk – do you use a system, if so which system e.g. in house, Ulysses, Datix, Radar etc, or do you use excel spreadsheets?
10. Please provide the risk management role structure within your organisation including the Banding of these roles.

Our Response:

1. A copy of the Risk Management Strategy and Policy is available on the NHS Wirral Clinical Commissioning Group (CCG) website via the following link - <https://www.wirralccg.nhs.uk/media/5241/final-risk-management-strategy-and-policy-september-2018doc.pdf>.
2. Please see the answer to question number 1.
3. This is outlined within the Risk Management Strategy and Policy which can be found on the link above.
4. Please see the answer to question number 3.
5. The Risk Management Strategy Policy was reviewed and approved at the Quality and Performance (QP) Committee in September 2021, which is a sub-committee of the Governing Body. The minutes of the Quality and Performance Committee were received by the Governing Body held in November 2021 - [governing-body-agenda-pack-16th-november-2021-public.pdf \(wirralccg.nhs.uk\)](#)

6. This is reviewed monthly by the Governing Body. The last meeting was held on the 15th February 2022. Copies of the meeting papers can be found on the NHS Wirral CCG website. Please click on the link below for a copy of the minutes:
Minutes - [Governing Body Meetings - Wirral CCG](#)
7. NHS Wirral CCG's Board Assurance Framework was last reviewed at the Governing Body meeting held on the 15th February 2022. Copies of the meeting papers can be found on the NHS Wirral CCG website. Please click on the link below for a copy of the minutes:
Minutes - [Governing Body Meetings - Wirral CCG](#)
8. A Risk Management Internal Audit Report was undertaken in 2018/2019. Please see the attached form for further information.
9. The Risk Register is recorded on an excel spreadsheet.
10. This is outlined within the Risk Management Strategy and Policy which can be found on the link above.

We hope this information is useful, however, if you require any further information, please do not hesitate to contact a member of the Corporate Affairs Team, (contact details at the top of this letter).

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